



09/944,712

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Technology Center 2100

Applicant: BLACK ET AL. Examiner: A. LY  
Serial No.: 09/944,712 Group Art Unit: 2172  
Filed: AUGUST 31, 2001 Docket No.: 10731.73USU1  
Title: SYSTEM AND METHOD FOR DATA MANAGEMENT

**CERTIFICATE UNDER 37 CFR 1.10:**

"Express Mail" mailing label number: EV408488792US

Date of Deposit: July 29, 2004

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:   
Name: David Ortiz

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. Enclosed is a check in the amount of \$180.00 under 37 C.F.R. § 1.17(p) for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. Several copies of the references included herewith may be duplicative of previously-filed references. However, in view of the confusion associated

with the previously-filed Information Disclosure Statements, a copy of each document is included herewith.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

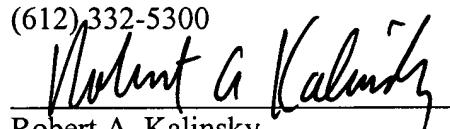
Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

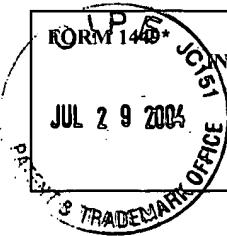


Date: July 29, 2004

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612)332-5300

  
\_\_\_\_\_  
Robert A. Kalinsky  
Reg. No. 50,471  
RAK:PLSdb

 <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)			Docket Number: 10731.73USU1	Application Number: 09/944,712
			Applicant: BLACK ET AL.	Filing Date: 08/31/2001
			<b>RECEIVED</b> <small>Group Art Unit: 2100</small>	

AUG 04 2004

U.S. PATENT DOCUMENTS						Technology Center 2100	
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5,732,265	03/24/1998	Dewitt et al.				
	5,778,395	07/07/1998	Whiting et al.				
	5,813,009	09/22/1998	Johnson et al.				
	5,974,412	10/26/1999	Hazlehurst et al.				
	6,020,980	02/01/2000	Freeman				
	6,052,692	04/18/2000	Anderson et al.				
	6,289,353 B1	09/11/2001	Hazlehurst et al.				
	6,389,433 B1	05/14/2002	Bolosky et al.				
	2002/0059317 A1	05/16/2002	Black et al.				
	2002/0065892 A1	05/30/2002	Malik				
	2002/0107877 A1	08/08/2002	Whiting et al.				
	2002/0156827 A1	10/24/2002	Lazar				
	2003/0037022 A1	02/20/2003	Adya et al.				
	6,573,907 B1	06/03/2003	Madrane				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2001075890	03/23/2001	JP			Abstract Only	
	WO 98/18092	04/30/1998	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Merrill Corporation, "Introducing EFD, A faster, more cost-effective and reliable way to manage electronic evidence," 5 pages.					
		Merrill/Executech, "New from Merrill/Executech... Electronic File Discovery (EFD)," 1 page.					
		Merrill Corporation, "Introducing EFD," 1 page.					
		Merrill Corporation, "Electronic File Discovery (EFD)," pages 1 and 3-6.					
		Merrill/Executech, "Engagement Letter for EFD (Electronic File Discovery) Services," dated March 31, 1999, 4 pages.					

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PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	